

SANCTION OF APPROVED INSPECTOR – PWC Building Control Services Ltd (#131)

Published: 23 January 2023

Sanction Expiry: 23 January 2025

Disciplinary Hearing: 8 December 2022

A disciplinary hearing was held following a complaint lodged with CICAIR against **PWC Building Control Services Ltd**, Approved Inspector #131.

The disciplinary panel upheld nine breaches of the CICAIR Code of Conduct for Approved Inspectors (2017).

1. FAILURE TO COMPLY WITH CLAUSE 1.1 OF THE CODE OF CONDUCT

Clause 1.1 of the Code of Conduct provides:

Approved Inspectors must always act with honesty and integrity.

2. FAILURE TO COMPLY WITH CLAUSE 1.4 OF THE CODE OF CONDUCT

Clause 1.4 of the Code of Conduct provides:

Approved Inspectors must avoid any actions or situations that are inconsistent with their professional obligations.

3. FAILURE TO COMPLY WITH CLAUSE 2.1 OF THE CODE OF CONDUCT

Clause 2.1 of the Code of Conduct provides:

Approved Inspectors must provide an appropriate standard of service.

4. FAILURE TO COMPLY WITH CLAUSE 2.2 OF THE CODE OF CONDUCT

Clause 2.2 of the Code of Conduct provides:

Approved Inspectors must act with professional skill, care and/or diligence.

5. FAILURE TO COMPLY WITH CLAUSE 2.5 OF THE CODE OF CONDUCT

Clause 2.5 of the Code of Conduct provides:

Approved Inspectors must always comply with the Building Control Performance Standards.

6. FAILURE TO COMPLY WITH CLAUSE 2.6 OF THE CODE OF CONDUCT

Clause 2.6 of the Code of Conduct provides:

Approved Inspectors must pay due regard to industry best practice, technical and professional standards and to the CICAIR Code of Conduct Guidance Notes.

7. FAILURE TO COMPLY WITH CLAUSE 3.3 OF THE CODE OF CONDUCT

Clause 3.3 of the Code of Conduct provides:

Approved Inspectors must procure work in an appropriate manner.

8. FAILURE TO COMPLY WITH CLAUSE 3.4 OF THE CODE OF CONDUCT

Clause 3.4 of the Code of Conduct provides:

Approved Inspectors must provide, on request, their complaints procedure and investigate complaints in accordance with the procedure.

9. FAILURE TO COMPLY WITH CLAUSE 3.6 OF THE CODE OF CONDUCT

Clause 3.6 of the Code of Conduct provides:

Approved Inspectors must uphold the reputation of the profession and of CICAIR at all times.

In accordance with the CICAIR Sanctions Guidance, the Disciplinary Panel determined the appropriate sanction was a Level 2 – Moderate Breach sanction.

The Disciplinary Panel directed that the following sanctions should be applied:

- a. The Approved Inspector shall be issued with a letter of warning in relation to its inadequate standard of monitoring and reporting and the inadequate standard of documenting. In addition, the level of communications relying upon verbal discussions is not acceptable as The Building Control Performance Standards expect written communication. If these matters are not remedied or brought up to standard, this could result in a higher-level sanction being imposed.

- b. The Approved Inspector has indicated that it has put in place and is implementing more robust measures for the monitoring of projects. The Approved Inspector must provide the CICAIR's Registrar with a detailed summary of the improvement measures that have been put into place and a detailed summary, as an action plan, of specific improvement to reporting measures – within one month from the date when this decision is provided to the Approved Inspector; and

- c. The Approved Inspector must undertake an audit conducted by CICAIR of the current projects in progress and projects completed within the last two years.

The Level 2 disciplinary sanction will be recorded on the Approved Inspector's CICAIR file along with the Disciplinary Panel's written decision and terms of the requirements imposed. It will be made available to any future CICAIR panel which upholds a complaint or allegation against the Approved Inspector prior to the delivery of a sanction (Paragraph 5.13 of the Sanctions Guidance).

The Level 2 sanction will be published on the CICAIR website for a period of 24 months (Paragraph 5.14 of the Sanctions Guidance).

Non-compliance with this sanction may lead to further disciplinary action (Paragraph 5.15 of the Sanctions Guidance).

This Level 2 sanction does not prevent PWC Building Control Services Ltd from continuing to practise as an Approved Inspector.